



Identification for a Foreign company

Policy number

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You can also arrange for your financial adviser to identify you by completing the appropriate FSC Identification form.

We respect your privacy and handle your information in accordance with our privacy policy. The MLC Limited Privacy Policy is available at mlcinsurance.com.au/privacy-policy

Section 1: Foreign company details

1. General information

Full name of foreign company

Country of formation/incorporation/registration

If registered by a foreign body provide name of body

Is the foreign company registered with ASIC?

Yes Please provide ARBN or ACN

Provide **EITHER** Principal place of business address in Australia **OR** Local agent name and address details

Address - PO Box is **not** acceptable

Unit number

Street number

Street name

Suburb

State

Postcode

Country

Name of local agent in Australia

No Please provide company identification number (if any) issued by the foreign registration body

Principal place of business in the company's country of formation or incorporation - PO Box is **not** acceptable

Unit number

Street number

Street name

Suburb

State

Postcode

Country

Trustee

NULIS Nominees (Australia) Limited
ABN 80 008 515 633 AFSL 236465

Fund

MLC Super Fund
ABN 70 732 426 024

Insurer

MLC Limited
ABN 90 000 000 402 AFSL 230694

The Trustee is part of the Insignia Financial Group. MLC Limited uses the MLC brand under licence from Insignia Financial Group. MLC Limited is part of the Nippon Life Insurance Group and is not a part of the Insignia Financial Group.



Section 1: Foreign company details continued

Registered address of company

Provide the registered address as registered with ASIC. If the company is not registered with ASIC, provide the registered address in the country of formation, incorporation or registration (if any).

Unit number	Street number	Street name	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

2. Company type

Select **one** of the following categories

- Public** Companies whose name does not include the word Pty or proprietary; generally listed companies. Please go to **4 Regulatory/Listing details**
- Proprietary** Companies whose name ends with Proprietary Ltd or Pty Ltd, also known as private companies. Please go to **3 Directors**, below.

3. Directors

This question only needs to be completed for proprietary companies.

Provide full name of each director.

	Full given name(s)	Surname
1	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>
3	<input type="text"/>	<input type="text"/>
4	<input type="text"/>	<input type="text"/>

If there are more directors, provide details on a separate sheet.

4. Regulatory/Listing details

Select the following categories which apply to the company and provide the information requested.

Regulated in Australia
(Subject to the supervision of an Australian Commonwealth, State or Territory statutory regulator beyond that provided by ASIC as a company registration body. Examples include Australian Financial Services Licensees (AFSL); Australian Credit Licensees (ACL); or Registrable Superannuation Entity (RSE) Licensees.)

Regulator name

Licence details (e.g. AFSL, ACL, RSE)

Public Listed
(Companies that are subject to disclosure requirements that ensure transparency of Beneficial Ownership comparable to similar public listing requirements in Australia. Refers to listing on a financial market that by stock exchange rules, law or enforceable means promotes transparency of beneficial owner information.)

Name of market/exchange

Country

Section 1: Foreign company details continued

Majority Owned Subsidiary of an Australian Public Listed company

(Companies that are majority owned by an Australian company that is listed on a financial market such as the ASX)

Australian listed company name

Name of market/exchange

If you have selected one of the above regulated/listed company types above proceed to **Section 2**.

If not, proceed to **5. Beneficial owners**

5. Beneficial owners

To be completed for all companies that are not Public Listed companies, majority owned by an Australian Public Listed company or companies regulated in Australia as at **4. Regulatory/Listing details**

Are there any individuals who ultimately own or control (directly or indirectly) through one or more shareholdings, 25% or more of the issued share capital of the company?

Yes Please complete **5.1 Shareholder beneficial owners**

No Please complete **5.2 Other beneficial owners**

5.1 Shareholder beneficial owners

Provide the names of the individuals who ultimately own or control (directly or indirectly) through one or more shareholdings, 25% or more of the issued share capital of the company.

You'll need to provide individual customer identification forms for each of these individuals. The Identification for Individuals and Sole Traders form is available from mlcinsurance.com.au/proof-of-identity

Full given name(s)

Surname

Proceed to **Section 2: FATCA**

5.2 Other beneficial owners

Provide the names of the most senior managing official of the company (such as the managing director or directors who are authorised to sign on the company's behalf).

You'll need to provide individual customer identification forms for each of these individuals. The Identification for Individuals and Sole Traders form is available from mlcinsurance.com.au/proof-of-identity

Full given name(s)

Surname

Role (such as Managing Director)

Proceed to **Section 2: FATCA**

Section 3 : Identification procedure

Attach a legible **certified copy** of the ID documents from the options below.

Standard verification procedure for Foreign Companies registered with ASIC

Tick (✓)	Verification options (select ONE of the following options used to verify the Company)
<input type="checkbox"/>	Perform a search of the relevant ASIC database. If you have an ARBN, a certified copy of the company's most recent ASIC annual statement, including any amendments or a company search showing shareholder information.
<input type="checkbox"/>	Perform a company search or obtain incorporation/registration documents from the relevant foreign registrar.

Standard verification procedure for Foreign Companies NOT registered with ASIC

Tick (✓)	Verification options (select ONE of the following options used to verify the Company)
<input type="checkbox"/>	Perform a search of the relevant foreign registration body.
<input type="checkbox"/>	If the foreign registration body database is not reasonably available, an original or certified copy of the certification of registration issued by the relevant foreign registration body.*

Simplified verification procedure for a regulated company, a listed company or a majority owned subsidiary of an Australian listed company as described in 4 Regulatory/Listing details

Tick (✓)	Verification options (select ONE of the following options used to verify the Company)
<input type="checkbox"/>	Perform a search of the relevant financial market.
<input type="checkbox"/>	Perform a search of the relevant ASIC database.
<input type="checkbox"/>	Perform a search of the licence or other records of the relevant Commonwealth, State or Territory statutory regulator.
<input type="checkbox"/>	A public document issued by the company.

* If your identification document is written in a language other than English, you must provide a translation into English by a translator who is accredited by the National Accreditation Authority for Translators and Interpreters (NAATI) at the level of Professional Translator or above.

Please check the details of who can certify in **Section 4**.

Section 4: Who can certify?

A document is only accepted as a certified copy if it has been certified as a true copy of the original document by a person who is currently licensed or registered to practise in Australia, in an occupation below:

- A person who is enrolled on the roll of the Supreme Court of a State or Territory, or the High Court of Australia, as a legal practitioner.
- A Justice of the Peace.
- A Judge of a court.
- A Magistrate.
- A Chief Executive Officer of a Commonwealth court.
- A Notary Public (for the purposes of the Statutory Declaration Regulations 2018).
- A Police officer.
- An agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public.
- A permanent employee of the Australian Postal Corporation with 5 or more years of continuous service who is employed in an office supplying postal services to the public.
- An Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955).
- An officer with 5 or more years of continuous service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018) (e.g. bank manager, bank officer).
- A finance company officer with 5 or more continuous years of service with one or more finance companies (for the purposes of the Statutory Declarations Regulations 2018).
- An officer or an authorised representative of, a holder of an AFSL, having 5 or more continuous years of service with one or more licensees (e.g. financial planner, advisor, broker).
- A member of the Institute of Chartered Accountants in Australia, CPA Australia, the Australian Association of Taxation and Management Accountants and the Institute of Public Accountants.
- Pharmacist.

Acceptable Foreign Certifiers

- Australian Consular Officer or Australian Diplomatic Officer (within the meaning of the Consular Fees Act 1955).
- Employee of the Commonwealth including Australian Trade and Investment Commission who is:
 - In a country or place outside of Australia; and
 - Authorised under paragraph 3(d) of the Consular Fees Act 1955; and
 - Exercising his or her function at that place.
- Judge of a Court or Magistrate.
- A person authorised as a Notary Public in a foreign jurisdiction.
- A person who is authorised by law in the relevant jurisdiction to administer oaths or affirmations or to authenticate documents.

Acceptable certification of ID documents

Each copy of the ID must be certified by an approved certifier as follows:

The approved certifier must write:

- Full printed name of the “Approved Certifier” (eg Michelle Helena Citizen).
- Date the document was certified.
- Signature of the approved certifier.
- The capacity in which they have certified the document, eg police officer, etc.
- The Registration number (if applicable) of the certifier.
- The following text:

If single page: This is to certify this is a true copy of the original which I have sighted.

If multiple page: I certify that this and the following (number of pages) are a true copy of the original which I have sighted.

Each following page must be initialled and dated.

Section 5: Send us your form

Please return your completed, signed and dated form to:

MLC Life Insurance - Operations
PO Box 23455
Docklands VIC 3008

Email: enquiries.retail@mlcinsurance.com.au

If you have any questions, please contact your financial adviser or call us on **136 525**, 8.30am to 6pm AEST, Monday to Friday.